



Express Mail No. EL 451 597 699 US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Hari Ravichandran

Serial No. 10/056,224

Filed: January 22, 2002

Title: *Apparatus and Method for Processor  
Performance Monitoring*

Attorney Docket No. 9804-0115-999

Date: June 28, 2002

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Initial Patent Examination Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(b) mailed February 19, 2002, attached please find a return copy of the Notice and Petition of Extension of Time.


The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Pennie & Edmonds LLP Deposit Account No. 16-1150 (order no. 9804-0115-999):

|   |                  |
|---|------------------|
| 1. Filing Fees  | \$ 740.00        |
| 2. Petition of Extension of Time (3 months)   | 920.00           |
| 3. Surcharge Fee for filing late fees<br>later than the filing date of the application. | \$ <u>130.00</u> |
| <b>TOTAL</b>  | <b>\$1790.00</b> |

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150.

A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/056,224         | 01/22/2002          | Hari K. Ravichandran  | 9804-0115-999          |

CONFIRMATION NO. 6880

24341

Pennie & Edmonds, LLP  
3300 Hillview Avenue  
Palo Alto, CA 94304

FORMALITIES LETTER



\*OC000000007495411\*

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/09/2002 BABRAH1 00000024 161150 10056224

01 FC:101 740.00 CH  
02 FC:105 130.00 CH